

Ten Commandments* of Waves and Optics

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A necessary¹ condition for passing the oral exam in Waves and Optics is the knowledge of the following facts.

1. Euler's identity $e^{i\varphi} = \cos \varphi + i \sin \varphi$ and its consequence $\operatorname{Re} e^{i\varphi} = \cos \varphi$.
2. The solution to the LHO equation, $\ddot{x} + \omega^2 x = 0$, can be written in equivalent forms:

$$x(t) = A \cos(\omega t + \varphi) = A \sin(\omega t + \phi) = a \cos \omega t + b \sin \omega t = c_1 e^{i\omega t} + \bar{c}_1 e^{-i\omega t}.$$

3. Average values

$$\langle \cos \omega t \rangle = \langle \sin \omega t \rangle = 0, \quad \langle \cos^2 \omega t \rangle = \langle \sin^2 \omega t \rangle = \frac{1}{2}.$$

4. 1D wave equation

$$\frac{\partial^2 \psi}{\partial t^2} = v^2 \frac{\partial^2 \psi}{\partial z^2},$$

where v represents the phase velocity—the speed of propagation—of travelling waves and $\psi(z, t) : \mathbb{R}^2 \rightarrow \mathbb{R}$.

5. Boundary conditions at a fixed and free end at $z = z_0$:

$$\psi(z_0, t) = 0 \quad (\text{fixed}), \quad \frac{\partial \psi}{\partial z}(z_0, t) = 0 \quad (\text{free}).$$

6. Initial conditions for a medium on $z \in \langle 0, L \rangle$ described by the wave equation:

$$\psi(z, 0) = f(z) \quad (\text{initial position}), \quad \frac{\partial \psi}{\partial t}(z, 0) = g(z) \quad (\text{initial velocity}),$$

where $f, g : \langle 0, L \rangle \rightarrow \mathbb{R}$.

7. D'Alembert's solution to the 1D wave equation:

$$\psi(z, t) = F(z - vt) + G(z + vt),$$

where $F, G : \mathbb{R} \rightarrow \mathbb{R}$ are arbitrary functions (of one variable) that describe the shape of the wave propagating in the positive (for F) and negative (for G) directions along the z -axis at phase velocity v .

*Of twenty-six points, i.e. it is 10 in number base of 26.

¹But not sufficient...

8. Harmonic travelling wave in real and complex form:

$$\psi(z, t) = A \cos(\omega t - kz + \varphi), \quad \psi(z, t) = Ae^{i(\omega t - kz + \varphi)},$$

where $\omega \in \mathbb{R}^+$ is the angular frequency and $k \in \mathbb{R}^+$ is the wavenumber. This wave propagates through the medium with phase velocity $v_\varphi = \frac{\omega}{k}$.

9. The dispersion relation gives the allowed combinations of ω and k , for which a travelling wave can propagate in a given medium. The dispersion relation is given by the function $\omega(k)$, or inversely $k(\omega)$ (or implicitly by $f(\omega, k) = 0$). The permissible ω for a given k is obtained as $\omega = \omega(k)$ (and permissible k for a given ω is obtained as $k = k(\omega)$).

10. The group velocity for a wave packet with a central wavenumber k_0 is

$$v_g = \frac{d\omega}{dk}(k_0).$$

This velocity represents the speed at which the wave packet (its amplitude envelope) propagates.

11. At the interface between two media, an incident wave of the form $F(x)$ reflects in the form $RF(-x)$ and transmits in the form $PF(\frac{v_1}{v_2}x)$, where v_i are the phase velocities of the respective media, and R and P are the reflection and transmission coefficients. The shape of the reflected wave is mirrored along "vertical" axis and the shape of the transmitted wave is contracted/elongated along the direction of propagation by a factor $\frac{v_2}{v_1}$.

For harmonic travelling waves, the incident wave is $\psi_d(z, t) = e^{i(\omega t - k_1 z)}$, the reflected wave is $\psi_r(z, t) = Re^{i(\omega t + k_1 z)}$, and the transmitted wave is $\psi_t(z, t) = Pe^{i(\omega t - k_2 z)}$.

12. The spatial wave equation is the equation for a spatial wave $\psi(\vec{r}, t)$ of the form:

$$\frac{\partial^2 \psi}{\partial t^2} = v^2 \Delta \psi,$$

where Δ is the Laplace operator in the respective dimension. In 3D Cartesian coordinates:

$$\Delta = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}.$$

The phase velocity v represents the speed at which the wavefronts propagate through the medium.

13. Wavefronts are surfaces of constant phase of a given wave. Specifically, for $\psi(\vec{r}, t) = e^{i\varphi(\vec{r}, t)}$, the wavefronts are defined by the equation $\varphi(\vec{r}, t) = \varphi_0$ for individual values of φ_0 .

14. • A harmonic travelling plane 3D wave is of the form:

$$\psi(\vec{r}, t) = Ae^{i(\omega t - \vec{k} \cdot \vec{r})},$$

where $\vec{k} = k\vec{n}$ is the wave vector, $k = |\vec{k}|$ is the wavenumber, and \vec{n} , $|\vec{n}| = 1$, is the direction of propagation. The wavefronts are planes perpendicular to \vec{n} . The propagation speed is given by the phase velocity $v_\varphi = \frac{\omega}{k}$.

• A harmonic travelling spherical 3D wave is of the form:

$$\psi(\vec{r}, t) = \frac{A}{r} e^{i(\omega t - kr)}.$$

The wavefronts are spheres with the centers at the origin. The propagation speed is given by the phase velocity $v_\varphi = \frac{\omega}{k}$. The amplitude decreases as $\frac{1}{r}$.

15. Maxwell's equations in a homogeneous medium (described by permittivity ε and permeability μ – so-called linear medium) without free charges and currents are of the form:

$$\begin{aligned} \operatorname{div} \vec{E} &= 0 \quad (\text{Gauss's law}), & \operatorname{rot} \vec{E} &= -\frac{\partial \vec{B}}{\partial t} \quad (\text{Faraday's law}), \\ \operatorname{div} \vec{B} &= 0, & \operatorname{rot} \vec{B} &= \varepsilon\mu \frac{\partial \vec{E}}{\partial t} \quad (\text{Ampère-Maxwell law}). \end{aligned}$$

16. A plane harmonic electromagnetic wave is a solution of the wave equations for \vec{E} and \vec{B} derived from Maxwell's equations,

$$\frac{\partial^2 \vec{E}}{\partial t^2} = v^2 \Delta \vec{E}, \quad \frac{\partial^2 \vec{B}}{\partial t^2} = v^2 \Delta \vec{B},$$

with $v = \frac{1}{\sqrt{\varepsilon\mu}}$, in the form

$$\vec{E}(\vec{r}, t) = \vec{E}_0 e^{i(\omega t - \vec{k} \cdot \vec{r})}, \quad \vec{B}(\vec{r}, t) = \vec{B}_0 e^{i(\omega t - \vec{k} \cdot \vec{r})},$$

where $\omega = v|\vec{k}|$ (the dispersion relation for EM waves), $\vec{E} \perp \vec{n}$ and $\vec{B} \perp \vec{n}$ (the EM wave is a transverse wave), $\vec{E} \perp \vec{B}$, $E = vB$, and $(\vec{E}, \vec{B}, \vec{n})$ form a right-handed set of vectors.

17. The intensity of an EM wave is given by $I = \langle \vec{S} \rangle$, where \vec{S} is the Poynting vector (energy flux), which for an EM wave has the form $\vec{S} = \sqrt{\frac{\varepsilon}{\mu}} E^2 \vec{n}$, where \vec{n} is a direction of propagation.

18. • A fully (generally elliptically) polarized EM wave traveling in the z -axis direction has the form

$$\vec{E}(\vec{r}, t) = E_{x0} \vec{x} e^{i(\omega t - kz + \varphi_1)} + E_{y0} \vec{y} e^{i(\omega t - kz + \varphi_2)}.$$

- A linearly polarized EM wave has the form

$$\vec{E}(\vec{r}, t) = E_0 \vec{n} e^{i(\omega t - kz + \varphi)},$$

where \vec{n} is the unit vector of the polarization direction. For a given z , the electric field \vec{E} traces a line segment in the xy plane over time.

- A circularly polarized EM wave is a wave where, for a given z , the electric field \vec{E} traces a circle in the xy plane over time. One possible form of a circularly polarized wave is

$$\vec{E}(\vec{r}, t) = E_0 \vec{x} \cos(\omega t) \pm E_0 \vec{y} \sin(\omega t),$$

where the different signs correspond to different directions of the rotation of the vector \vec{E} in the xy plane.

19. • A polarizer transmits only the electric field component in the transmission direction \vec{n} according to the relation

$$\vec{E}_{out} = (\vec{E}_{in} \cdot \vec{n}) \vec{n}.$$

- A wave plate with a phase shift $\Delta\varphi$ and axis \vec{n} alters the electric field in the following manner. If the input field is

$$\vec{E}_{in} = E_1 \vec{n} e^{i(\omega t + \varphi_1)} + E_2 \vec{n}_\perp e^{i(\omega t + \varphi_2)},$$

then the output field has the form

$$\vec{E}_{out} = E_1 \vec{n} e^{i(\omega t + \varphi_1 + \Delta\varphi)} + E_2 \vec{n}_\perp e^{i(\omega t + \varphi_2)},$$

where \vec{n}_\perp is the unit vector perpendicular to \vec{n} .

20. The refractive index n of a medium is defined as $n = \frac{c}{v}$, where v is the phase velocity in the medium. The corresponding dispersion relation is of the form $\omega = \frac{c}{n}|\vec{k}|$.
21. *The law of reflection and refraction of a plane EM wave at a planar interface.* For the angles of incidence ϑ_d , reflection ϑ_r , and refraction ϑ_t , measured from the normal to the interface, the following holds:

$$\vartheta_d = \vartheta_r, \quad n_1 \sin \vartheta_d = n_2 \sin \vartheta_t,$$

where n_1 and n_2 are the refractive indices of the "incident" and "transmitted" media. The critical angle ϑ_C is given by $\sin \vartheta_C = \frac{n_2}{n_1}$ for $n_2 < n_1$. For $n_1 < n_2$ there is no critical angle. For incidence angles $\vartheta_d \geq \vartheta_C$, total internal reflection occurs.

22. The diffraction integral

$$\vec{E} = \vec{E}_0 \int_B \frac{1}{r} e^{i(\omega t - kr)} dS,$$

represents the superposition of spherical waves with the same but undefined amplitude, emitted from every point of the aperture B in the screen. The simplest approximation is the so-called Fraunhofer diffraction integral:

$$\vec{E} = \frac{\vec{E}_0}{R} e^{i(\omega t - kR)} \int_B e^{i \frac{k}{R}(xX + yY)} dS,$$

with the meaning of the individual symbols detailed in the textbook.

23. A diffraction pattern is the spatial distribution of intensity $I(x, y) = \langle \vec{E}(x, y)^2 \rangle$ on the screen in the xy plane.
24. A diffraction pattern typically contains maxima and minima of intensity, observed at an angle θ (the angular deviation from the aperture axis). Qualitatively, we have

$$\sin \theta \propto m \frac{\lambda}{d},$$

where $m \in \mathbb{N}_0$ is the so-called order of the maximum, λ is the wavelength of the light used, and d is the characteristic dimension of the aperture — for example, the distance between adjacent slits, the size of a circular aperture, etc.

25. For the positions of the maxima on the screen close to the aperture axis, we have

$$y_m \propto mL \frac{\lambda}{d},$$

where L is the distance between the screen and the aperture.

26. A diffraction grating with N slits narrows the diffraction maxima according to the relation

$$\Delta(\sin \theta) \propto \frac{1}{N} \frac{\lambda}{d}.$$